



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/712,042	11/14/2000	Lixiao Wang	S63,2-9213

00490  
VIDAS, ARRETT & STEINKRAUS, P.A.  
6109 BLUE CIRCLE DRIVE  
SUITE 2000  
MINNETONKA, MN 55343-9185

CONFIRMATION NO. 9167

FORMALITIES LETTER



\*OC000000005869214\*

Date Mailed: 03/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/23/2001 HMOHAMMI 00000005 220350 09712042

FILED UNDER 37 CFR 1.53(b)

01 FC:101 710.00 OP  
02 FC:105 130.00 OP  
03 FC:102 80.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.
  - \$80 for 1 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 920.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 COPY TO BE RETURNED WITH RESPONSE

Adjustment date: 06/13/2001 NLUANG  
05/23/2001 HMOHAMMI 00000005 220350 09712042  
03 FC:102 80.00 CR



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:** Lixiao Wang et al.  
**Application No.:** 09/712,042  
**Filed:** November 14, 2001  
**For:** BLOCK COPOLYMER ELASTOMER  
CATHETER BALLOONS  
**Examiner:** not assigned yet  
**Group Art Unit:** 3763

Box Missing Parts  
Commissioner for Patent  
Washington, D.C. 20231

**Docket No.:** S63.2-9213

**RESPONSE TO NOTICE OF MISSING PARTS**

In response to the Notice to File Missing Parts of Application--Filing Date  
Granted, mailed March 16, 2000, enclosed for filing please find:

1. A copy of the Notice to File Missing Parts of Application (Form  
PTO-1533) and a Check for \$920.00 to cover the filing and surcharge fees.

Please charge any additional fees or credit any overpayment associated with this  
communication to the Deposit Account No. 22-0350.

Respectfully submitted,

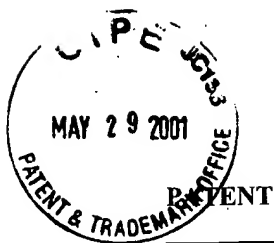
VIDAS, ARRETT & STEINKRAUS

Date: May 16, 2001

By:

William E. Anderson, II  
Registration No. 37,766

6109 Blue Circle Drive, Suite 2000  
Minnetonka, MN 55343-9185  
Telephone No.: (952) 563-3000  
Facsimile No.: (952) 563-3001  
F:\WPWORK\WEA\9213-MIS.516



H-12

Gr 3763

RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:	Lixiao Wang et al.	TECHNOLOGY CENTER 3700
Application No.:	09/712,042	
Filed:	November 14, 2001	
For:	BLOCK COPOLYMER ELASTOMER CATHETER BALLOONS	
Examiner:	not assigned yet	
Group Art Unit:	3763	

Box Missing Parts  
Commissioner for Patent  
Washington, D.C. 20231

Docket No.: S63.2-9213

TRANSMITTAL LETTER

1. In regard to the above-identified application, we are submitting the attached:  
**4 pg Second Preliminary Amendment, VAS transmittal and postcard**
2. With respect to fees:  
☐ No additional fee is required.  
☐ Attached is check(s) in the amount of \$\_.  
☒ Charge additional fee to our Deposit Account No. 22-0350.
3. **CONDITIONAL PETITION FOR EXTENSION OF TIME**  
This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefor.
4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

VIDAS, ARRETT & STEINKRAUS

By:   
William E. Anderson, II  
Registration No. 37,766

Date: May 25, 2001

6109 Blue Circle Drive, Suite 2000  
Minnetonka, MN 55343-9185  
Telephone: (952) 563-3000  
Facsimile: (952) 563-3001

Certificate Under 37 CFR 1.8: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Box Missing Parts, Commissioner for Patents, Washington D.C. 20231, on May 25, 2001

Julie K. Emerson



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Lixiao Wang et al.  
Application No.: 09/712,042  
Filed: November 14, 2001  
For: BLOCK COPOLYMER ELASTOMER CATHETER  
BALLOONS  
Examiner: not assigned yet  
Group Art Unit: 3763

Box Missing Parts  
Commissioner for Patent  
Washington, D.C. 20231

Docket No.: S63.2-9213

TRANSMITTAL LETTER

1. In regard to the above-identified application, we are submitting the attached:  
1 pg response to Notice of Missing Parts; check #840.00; PTO form Notice to file missing parts of nonprovisional application; VAS transmittal and postcard; Preliminary Amendment.
2. With respect to fees:
  - ☐ No additional fee is required.
  - ☒ Attached is check(s) in the amount of \$840.00
  - ☐ Charge additional fee to our Deposit Account No. 22-0350.
3. **CONDITIONAL PETITION FOR EXTENSION OF TIME**  
This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefor.
4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

VIDAS, ARRETT & STEINKRAUS

By: 

William E. Anderson, II  
Registration No. 37,766

Date: May 16, 2001

6109 Blue Circle Drive, Suite 2000  
Minnetonka, MN 55343-9185  
Telephone: (952) 563-3000  
Facsimile: (952) 563-3001

Certificate Under 37 CFR 1.8: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Box Missing Parts, Commissioner for Patents, Washington D.C. 20231, on May 16, 2001.

  
Michelle Ricklefs